

INTERNAL AUDIT PROGRESS REPORT

Audit Committee - 16 October 2018

Report of	Audit Manager
Status	For Consideration
Key Decision	No

Executive Summary: The attached report (Appendix A) provides Members with an update on progress so far towards completing the 2018/19 Audit Plan. It also provides a broader update on the service following the appointment of the Interim Audit Manager (Chief Audit Executive) in August 2018.

This report supports the Key Aim of

Portfolio Holder	Cllr. John Scholey
Contact Officers	Russell Heppleston, Ext. 7053 Adrian Rowbotham, Ext. 7153

Recommendation to Audit Committee: That the Internal Audit Progress Report (Appendix A) is noted

Reason for recommendation: Reporting the outcomes of the internal audit service is a requirement under the Public Sector Internal Audit Standards. As those charged with governance, the Audit Committee is required through its Terms of Reference to provide oversight of the internal audit service.

Introduction and Background

- 1 The Audit Committee receives updates from the Internal Audit partnership throughout the year. Principally updates have included progress against the audit plan and implementation of audit actions.
- 2 Following the appointment of a new Audit Manager in August 2018 we have taken the opportunity to consolidate a number of individual reports into a broader service update report. This includes all of the previously agreed updates, but also make reference to the mandated updates required through the Standards.

Key Implications

Financial

The Internal Audit Partnership is responsible to deliver the audit service. Delivery of the plan is already approved within the Council's budget and so needs no new funding to be delivered.

Legal Implications and Risk Assessment Statement

There are no legal implications associated with this decision.

We present this report for information only and so there are no direct risk management implications.

Equality Assessment

The decisions recommended through this paper have a remote or low relevance to the substance of the Equality Act. There is no perceived impact on end users.

Conclusions

The attached report (Appendix A) provides Members with an update on progress so far towards completing the 2018/19 Audit Plan. The results show good progress by the service within a backdrop of vacancies and uncertainty over the future strategy for the service. As such, the report brings some matters to the attention of Members for consideration and update at a later date.

Appendices

Appendix A - Internal Audit Progress Report
(October 2018)

Background Papers

This is the first time that we are reporting service progress in this format. Previous updates are publically available on the Council's [website](#).

Adrian Rowbotham
Chief Finance Officer